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**COPY OF PAPERS
ORIGINALLY FILED**

PATENT

Paper No. 3

File: Graff-P2-01

Date: March 8, 2002

Signed: _____

Peter K. Trzyna (Reg. No. 62,601)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Richard A. Graff

Serial No. : 10/015,258

Filed : December 11, 2001

For : BIDDER SYSTEM USING MULTIPLE COMPUTERS
COMMUNICATING DATA TO CARRY OUT SELLING
FIXED INCOME INSTRUMENTS

Group Art Unit : 2165

Examiner :

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

TRANSMITTAL LETTER

SIR:

Transmitted herewith for filing in the above-identified patent application are the following:

1. Response to Notice to File Corrected Application Papers;
2. Notice to File Correct Application Papers;
3. Formal Drawings, Figures 1-6, Sheets 1-17; and
4. Submission of Formal Drawings.

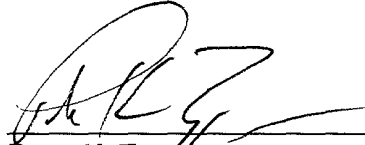
The Commissioner is hereby authorized to charge any fees associated with the

above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: March 8, 2002



Peter K. Trzyzna
(Reg. No. 32,601)

P.O. Box 7131
Chicago, IL 60680-7131
(312) 240-0824



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**RESPONSE TO NOTICE TO FILE
CORRECTED APPLICATION PAPERS**

S I R:

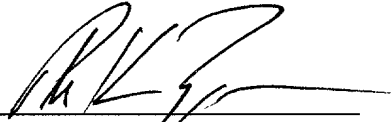
In response to the Notice to File Corrected Application Papers mailed on February 26, 2002, please enter the enclosed formal drawings, sheets 1-17, Figures 1-6 as substitute drawings, and Submission of Formal Drawings in the above-identified application. The U.S. Patent and Trademark Office copy of the Notice to File Corrected Application Papers is also enclosed.

The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

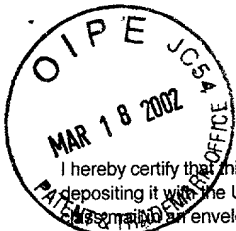
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Group Art Unit : 2165
Examiner :

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

SUBMISSION OF FORMAL DRAWINGS

SIR:

Please enter the enclosed formal drawings Figs. 1-6, sheet 1-17 in the above-identified application.

The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Peter K. Trzyna
(Reg. No. 32,601)

Date: March 8, 2002

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COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/015,258	12/11/2001	Richard A. Graff	Graff-P2-01

CONFIRMATION NO. 3786

28710
PETER K. TRZYNA, ESQ.
P O BOX 7131
CHICAGO, IL 60680

FORMALITIES LETTER



Date Mailed: 02/26/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1));
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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